

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. UNI.20	SERIAL NO. 10/039,752
List of Information Cited by Applicant Page 1 of 3			
		APPLICANT QIU ET AL.	
		FILING DATE JANUARY 2, 2002	GROUP

JUN 03 2002

U.S. PATENT DOCUMENTS							
EXAM. INITIAL		DOCUMENT NUMBER	DATE	NAME	CLS	SUB- CLS	FILE DATE
<i>SEP</i>	AA	3,470,109	09/30/69	Marsh			
	AB	3,892,853	07/01/75	Cobble			
	AC	4,178,372	12/11/79	Coats			
	AD	4,555,987	12/03/85	Tumlinson			
	AE	4,735,935	04/05/88	McAnalley			
	AF	4,851,224	07/25/89	McAnalley			
	AG	4,917,890	04/17/90	McAnalley			
	AH	4,957,907	09/18/90	McAnalley			
	AI	4,959,214	09/25/90	McAnalley			
	AJ	4,966,892	10/30/90	McAnalley			
	AK	5,106,616	04/21/92	McAnalley et al.			
	AL	5,118,673	06/02/92	Carpenter et al.			
	AM	5,284,833	02/08/94	McAnalley et al.			
	AN	5,308,838	05/03/94	McAnalley et al.			
	AO	5,441,943	08/15/95	McAnalley et al.			
	AP	5,443,830	08/22/95	Moore et al.			
	AQ	5,468,737	11/21/95	McAnalley et al.			

FOREIGN PATENT DOCUMENTS							
EXAM. INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLS	SUB- CLS	TRANS ?
	AR						
	AS						
	AT						
	AU						
	AV						
	AW						
	AX						

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
<i>SEP</i>	AY	Boericke (1985) <i>Materia media with Respiratory</i> , 9 th Ed., B. Jain Pub. Pvt. Ltd., New Delhi, 679				
<i>SEP</i>	AZ	Brown (1995) <i>The Herb Society of America; Encyclopedia of Herbs and Their Uses</i> , Dorling Kinderstey Publ. Inc., 372				
<i>SEP</i>	AAA	Byeon et al. (1998) J. Investigative Dermatology 110:811-817				
<i>SEP</i>	AAB	Chevallier (1996) <i>The Encyclopedia of Medicinal Plants</i> , Dorling Kinderstey Pub. Inc., 151				
<i>SEP</i>	AAC	Danhof and McAnalley (August 1983) D & CI, pp. 52, 54, 105-106				
<i>SEP</i>	AAD	Day et al. (1922) J. Am. Pharm. Assoc. XI:462-463				
EXAMINER <i>SEP</i>				DATE CONSIDERED <i>5-2-03</i>		
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						

FORM PTO-1449

U.S. DEPARTMENT OF
COMMERCE PATENT
AND TRADEMARK
OFFICE

List of Information Cited by Applicant

Page 1 of 3 JUN 03 2002

ATTY. DOCKET NO.

UNI.20

SERIAL NO.

10/039,752

APPLICANT

QIU ET AL.

FILING DATE

JANUARY 2, 2002

GROUP

U.S. PATENT DOCUMENTS

EXAM. INITIAL		DOCUMENT NUMBER	DATE	NAME	CLS	SUB- CLS	FILE DATE
SP	BA	5,512,488	04/30/96	Eberendu <i>et al.</i>			
	BB	5,562,906	10/08/96	Terry <i>et al.</i>			
	BC	5,587,364	12/24/96	McAnalley <i>et al.</i>			
	BD	5,703,060	12/30/97	McAnalley <i>et al.</i>			
	BE	5,773,425	06/30/98	McAnalley <i>et al.</i>			
	BF	5,780,453	07/14/98	McAnalley <i>et al.</i>			
	BG	5,786,342	07/28/98	Carpenter <i>et al.</i>			
	BH	5,824,659	10/20/98	Strickland <i>et al.</i>			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

SP	BI	Fujita <i>et al.</i> (1976) Biochemical Pharmacology 25:205
	BJ	Gowda (1980) Carbohydrate Research 83:402-405
	BK	Gowda <i>et al.</i> (1979) Carbohydrate Research 72:201-205
	BL	Grieve (1971) <i>A Modern Herbal</i> , Dover Publication, Inc., New York, 70-71
	BM	Haq and Hannan (1981) Bangladesh J. Sci. Ind. Res. XVI:68-72
	BN	Hranisavljević-Jakovljević and Miljković-Stojanović (1981) Bulletin de la Societe Chimique Beograd 46:269-273
	BO	Krochmal (1973) <i>A Guide to the Medicinal Plants of the United States</i> , Quadrangle/The New York Times Book Co., New York, 238-239
	BP	Lewis Elvin-Lewis (1977) <i>Medical Botany</i> , John Wiley & Sons Pub. pp. 191, 243, 255, 289, 363
	BQ	Mandal and Das (1980) Carbohydrate Research 86:247-257
	BR	Mandal and Das (1980) Carbohydrate Research 87:249-256
	BS	Mandal <i>et al.</i> (1983) Indian J. Chemistry 22B:890-893
	BT	Merck Index 9 th Edition (1976) (Xanthoxylum p. 1299) (Berberine pp. 152-153) (Fagarine p. 515)
	BU	Ovodova <i>et al.</i> (1975) Chemical Abstracts 83:312, Ref. No. 93833a
	BV	Paulsen <i>et al.</i> (1978) Carbohydrate Research 60:345-351
	BW	Radjabi <i>et al.</i> (1983) Carbohydrate Research 116:166-170
	BX	Radjabi-Nassab <i>et al.</i> (1984) Biochimie 66:563-567
	BY	Robson <i>et al.</i> (1982) JBCR. 3:157-163
	BZ	Shcherbukhin <i>et al.</i> (1979) Applied Biochemistry & Microbiology, 15:892-896
	BAA	Shimizu <i>et al.</i> (1997) Chemical Abstracts, 126:328 Ref. No. 126:197428v.
	BBB	Smothers (Jan. 1983) Drug & Cosmetic Ind. pg. 40, 77-80
	BCC	Vilkas <i>et al.</i> (1986) Biochimie, 68:1123-1127
	BDD	Waller <i>et al.</i> (1978) Proc. Okla. Acad. Sci. 58:69-76
	BEE	Waller <i>et al.</i> (1994) Cosmetics and Toiletries Manufacturing Worldwide, pg. 64-80
	BFF	Winters <i>et al.</i> (1981) Economic Botany 35:89-95

EXAMINER

DATE CONSIDERED 5-2-03

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449

U.S. DEPARTMENT OF
COMMERCE PATENT
AND TRADEMARK
OFFICE

List of Information Cited by Applicant

Page 3 of 3

JUN 03 2002

ATTY. DOCKET NO.

UNI.20

SERIAL NO.

10/039,752

APPLICANT

QIU ET AL.

FILING DATE

JANUARY 2, 2002

GROUP

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

BY	Wozniewski <i>et al.</i> (1990) Carbohydrate Research <u>198</u> :387-391
BZ	Yagi <i>et al.</i> (1985) Experientia <u>41</u> :669-671
BBA	Yagi <i>et al.</i> (1984) J. Pharmaceutical Sciences <u>73</u> :62-65
BBB	Yagi <i>et al.</i> (1977) Planta Medica <u>31</u> :17-20
BBC	
BBD	

EXAMINER

DATE CONSIDERED 5-2-03

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

S:\CLIENT FOLDERS\UNIVERA PHARMACEUTICALS\UNI20\IDS.DOC

FORM PTO-1449 U.S. DEPARTMENT OF
COMMERCE PATENT
AND TRADEMARK
OFFICE

List of Information Cited by Applicant

Page 1 of 1

ATTY. DOCKET NO.

UNI.20

SERIAL NO.

10/039,752

APPLICANT

QIU ET AL.

FILING DATE

JANUARY 2, 2002

GROUP



U.S. PATENT DOCUMENTS

EXAM. INITIAL		DOCUMENT NUMBER	DATE	NAME	CLS	SUB- CLS	FILE DATE
SEP	AA	5,356,811	10/18/1994	Coats			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AL						
	AM						
	AN						
	AO						
	AP						
	AQ						

RECEIVED

AUG 28 2002

TECH CENTER 1600 2900

FOREIGN PATENT DOCUMENTS

EXAM. INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLS	SUB CLS	TRANS ?
SEP	AR	WO 98/09635	03/12/1997	WIPO			
	AS	WO 90/01253	02/22/1990	WIPO			
	AT						
	AU						
	AV						
	AW						
	AX						

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

SEP	AY	Database WPI, Section Ch, Week 8751, Derwent publications, Ltd. London/GB, AN 1987-359 777 (abstract of JP 62263193)
	AZ	
	AAA	
	AAB	
	AAC	
	AAD	

EXAMINER *Selects* DATE CONSIDERED *S-2-03*

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.